

**On Satisfactory Completion Of This Programme You Will Be
Entitled To Use The Designation Certified Compliance Officer (CCO)
On Your Resume And Business Cards!**

**Elective
Course**

Certified Compliance Officer™

**An Elective Course Of The International Diploma
In Risk Management**

**20 – 24 July 2008
Dusit Thani Hotel, Dubai, UAE**

Who should attend?

This highly practical course has been specifically designed for:

- Directors and Senior Managers
- Company Secretaries
- Compliance Professionals
- Risk Managers
- Legal Advisers and Lawyers
- In-House Corporate Counsel
- Auditors and Audit Managers
- Compliance Officers and Managers

Plus anyone who is responsible for compliance affairs or regulatory relationships

After Attending This Five Day Executive Programme, You Will Be Able To:

- Define the meaning of compliance for your organisation and be capable of developing an organisational response to its demands
- Know what drives your compliance exposure both at home and abroad
- Understand the risk consequences of non-compliance
- Appreciate the relationship between corporate governance and compliance, including the market expectation of that relationship
- Understand the implications of Sarbanes Oxley for corporate accountability and ethical behavior
- Understand how to produce a corporate compliance programme tailored to your own organisation
- Learn how to optimise organisational structure for compliance

**3 Core
Courses**



**1 Elective
Course**



**International Diploma
In Risk Management**



"Highly recommended as an overview of the compliance function!"

Yasser Bilgrami, Compliance & Money Laundering, Fair Fax M.E LTD, UAE

About Your Expert Programme Director



Warren F Gillian BSc, BA, MEI has been responsible for the development of new and existing businesses in over 20 countries throughout Asia, Middle East and North Africa. In all, over 250 companies within these regions have been assisted by Mr Gillian to achieve various degrees of business risk and environmental risk

performance enhancement, utilising his technology and information transfer skills. During this period Mr Gillian has also project managed over AUD\$20 Million in R&D projects in the areas of energy storage, industrial processing and environmental management (water and wastewater management). He has written and published extensively in local and international media on technical and commercial issues, he has presented and chaired many international conferences and has sat on and chaired numerous international advisory groups and has only recently stepped down as the Deputy Chairman of the Centre for Groundwater Studies. Currently his interests lie in the area of business continuity management, focusing on communication and reputation risk. Mr Gillian has also been a Company Director for over 20 years and is well aware of the onerous position facing all Directors. He is also well versed in current corporate governance issues, in which he lectures at post-graduate level to banking and finance students. Mr Gillian is currently Deputy Director of the Australasian Risk Management Unit (ARMU).

On Successful Completion Of This Course And Registration With AAFM You Will:

- Earn the right to use the post-nominal designation CCO™ on your business card and resumé
- Receive a 2-year membership to the AAFM professional body
- Gain access to the AAFM risk management network and body of information online
- Share in the experience of a leading risk expert from the American Academy Of Financial Management
- Enjoy preferred access to education centres in USA, Europe, Asia, Middle East and Latin America
- Receive access to the AAFM international journal published online
- Earn a gold embossed CCO™ Certificate with your name and designation as a MAAF (Member of the American Academy of Financial Management)
- Be able to show that you have completed graduate level financial and risk management education and that you have substantial experience in risk assessment and management on a regional and global level

Elective
Course

Certified Compliance Officer™

20 – 24 July 2008

repeated 2 – 6 November 2008

Programme Overview

Certified Compliance Officer is a management programme designed to teach a practical understanding of regulatory compliance needs. The programme covers eight major subject areas and utilises a variety of training techniques. The key areas of the curriculum include understanding the regulatory environment, who is responsible for compliance, the role of corporate governance and ethics in compliance programmes, and the implementation of compliance programmes. The emphasis will be on practical and pragmatic approaches, rather than theoretical.

Programme Objective

The goal of this course is to provide Compliance Officers and Managers with skills that can be immediately used upon return to the organisation. You will learn to appreciate the extent to which organisations and their public officers are exposed to the ever-increasing regulatory environment, how to identify the compliance issues that have the most significance for you and how to introduce a compliance management programme within your organisation.

Why This Particular Executive Programme?

Creating a culture of compliance is an important risk management strategy. Recent corporate collapses have heavily focused on the need for good corporate governance and an effective compliance programme is an integral part of this structure.

In addition to this, on satisfactory completion of the programme you will receive certification from the internationally recognised financial association, the American Academy of Financial Management. Founded in America, the AAFM has members now in over 100 countries and as such this course brings with it the experience of credit professionals in the US, EU, Australia and other dynamic financial markets. This certification will entitle you to use the formal designation Certified Compliance Officer (CCO) on your resumé and business cards.

This intensive programme will provide a blueprint for compliance professionals to follow to ensure that the risk profile of your organisation is limited by a thorough understanding of your regulatory environment.

Why Compliance Management?

In many financial markets, a formal compliance management system is not only recommended, but required by the regulatory community and by good corporate governance principles. Since recent news of financial misreporting by firms like Enron, Worldcom, Xerox, etc, the pressure on corporations to more transparently manage their compliance exposures is mounting.

While some may observe that such regulatory requirements have not yet made their way to the Middle-East, it is clear that Middle-East enterprises operating globally must adhere to such guidelines. This programme also represents an unrivalled opportunity for regional organisations to prepare themselves for the arrival of more rigorous regulatory environments.

Course Timings

Registration will be at 08:00 on the first morning. The course will commence at 08:30 and conclude at 14:30 on all days with two breaks for refreshments. Lunch will be served at the end of each day.

Day One – Sunday, 20 July 2008

Introduction To Compliance

- What is corporate compliance?
- Who is responsible for managing it?
- What are the benefits of its introduction?
- Fostering a culture of accountability

The Regulatory Environment And The Role Of Regulators

- Regulatory drivers
- A variety of legal obligations and due diligence

Day Two – Monday, 21 July 2008

The Regulatory Environment And The Role Of Regulators Continued

- International responses to the problems of money laundering, economic crime, cyber-sabotage and terrorism
- Non-compliance and its ramifications

Compliance And The Role Of Corporate Governance

- What is governance?
- The corporate constitution and governance structures
- Duties and responsibilities of directors
- Protection of stakeholder interests

Day Three – Tuesday, 22 July 2008

Compliance And Business Ethics

- What is ethics?
- Ethics and corporate accountability: Sarbanes-Oxley
- Benefits of a commitment to sound business ethics
- Corporate ethics guidelines

Developing A Corporate Compliance Programme

- Code of conduct
- Policies and procedures

Day Four – Wednesday, 23 July 2008

Developing A Corporate Compliance Programme Continued

- Best practice principles
- Monitoring and auditing

Implementation Of Corporate Compliance

- Implementation requirements



Case studies will be used to demonstrate how organisations have implemented compliance programmes.

Day Five – Thursday, 24 July 2008

The Corporate Compliance Function

- The location of the compliance function
- Integration or independence of the compliance function
- An optimal compliance architecture
- The Compliance Officer

Issues In Compliance

- The demand for Corporate Social Responsibility (CSR)
- Fraud and corruption control
- International money laundering
- Whistleblower programmes and the law

“The course was well organised, comprehensive and enhanced my skills as a compliance professional”

Ali Mohammed Goodir, CCO

Dahabshiil, Somalia



The International Diploma In Risk Management

In conjunction with the American Academy Of Financial Management (AAFM), IIR is once again proud to offer a series of linked five day training programmes leading to the internationally respected **International Diploma In Risk Management**.

The Diploma consists of six programmes, of which you must complete four. Achievement of each one of these courses and registration with AAFM entitles you to use the appropriate post-nominal designation (for example, Jeremy Butcher CRA in the case of Certified Risk Analyst).

There are **three core courses which you must complete** to receive your Diploma. These are Certified Risk Analyst, Certified Operational And Market Risk Analyst, and Project And Contract Risk Specialist. The fourth and final course can be selected from any of the remaining elective programmes.

Course Order

Although there are no prerequisites for these courses, we strongly recommend that you begin with **Certified Risk Analyst™** to provide an overview to the programme. You may then structure the courses to meet your needs.

To graduate with an **International Diploma In Risk Management** you are required to complete **all three core courses**:

1. **Certified Risk Analyst™**
2. **Certified Operational And Market Risk Analyst™**
3. **Project And Contract Risk Specialist™**

PLUS at least **one** of the following **elective courses**:

1. **Certified Credit Analyst™**
2. **Certified Compliance Officer™**
3. **Certified Corporate Banker™**
4. **Master Compliance Professional™**



American Academy Of Financial Management (AAFM) Profile

The American Academy of Financial Management™ is one of the world's fastest growing professional associations with more than 50,000 members worldwide and offering exclusive board certification designations to candidates who meet the highest professional standards and assessment criteria. The programmes conducted by IIR are accredited by the American Academy of Financial Management (AAFM) and recognised in over 145 countries by 560 training providers, universities, colleges and business schools. IIR, IBC Asia and Informa plc are accredited training providers for AAFM branded programmes.

AAFM was chosen in 2006 by Dubai Financial Market (DFM) to conduct the seven week professional training component of the Kafa'at programme for graduates entering the brokerage and trading industry. AAFM has also recently been appointed to represent UBS' Financial Markets Academy in the Middle-East, renowned as one of the world's top capital markets programmes. AAFM has alliances with the Dubai Institute of Human Resource Development, Dubai Quality Group, Knowledge Village, Bahrain Training Institute, Islamic Development Bank and many more.

With liaison offices in Dubai, Hong Kong, Kuwait, Singapore, San Francisco, Monterey, New Orleans, Melbourne, Beijing, the Caribbean, India and Europe, AAFM is fast becoming the world's leading professional association for financial practitioners. The AAFM Executive Designation Programmes also provide the assurance that the designation holder has met the suggested criteria for graduate credentials set out in the Ibanez US Supreme Court Decision and are recognised on both the NASD and US Department of Labor websites.

Core
Course

Certified Operational And Market Risk Analyst™

4 – 8 May 2008

Introduction

Focusing on the key areas of operational and market risk, this core element of the diploma covers important topics like Basel II and day-to-day application of risk management theory. Through traditional delivery, interactive group work and stimulating directed exercises you will explore the latest theory and practice in operational and market risk.

Who Should Attend?

This powerful course is of particular interest for all risk managers with day-to-day risk responsibilities. It is also of interest for senior managers with an operational risk oversight role.

By Attending This Course You Will:

- Gain insight into operational risk management through Basel II and other approaches
- Develop a definitional understanding of what operational risk management is
- Analyse working models of operational risk management
- Understand the importance of documentation
- Develop the understanding to place operational risk management within the total enterprise risk management system
- Practice the implementation of operational risk management within a case study setting

Programme Summary

- Operational risk management – Defined and verified
- Basel II – The new accord
- Strategic risk management
- The future directions of operational risk management
- Practical application of principles by case study analysis

“A good learning experience”

Usman Basharat, Assistant Compliance Manager,
Mashreq Bank, UAE

Core
Course

Project And Contract Risk Specialist™

19 – 23 October 2008

Introduction

During this five day course you will develop an understanding of the importance of projects in the contemporary organisation. You will also learn how the problem (project) definition phase has a critical impact on project success. You will also explore the three major areas of project development, the planning, the execution and the closure phase, from a risk management perspective. The course will give you a clear understanding of the role that project stakeholders play in all aspects of the project, especially in the area project control and contract management. You will also gain a clear understanding of how the principles of risk management can be embedded within the project management process and how you can develop your own set of tools and techniques for optimising project performance. This is an innovative course that has been specifically designed to highlight the benefits of applying the principles of risk management, to the process of project management.

Who Should Attend?

All professionals working within a project based environment should have a knowledge of the importance of risk management to the delivery of their project. In particular, risk managers in large projects and senior managers in other projects should attend this programme.

By Attending This Course You Will:

- Develop a specialised approach to risk management that is particularly applicable to project environments
- Explore the various critical control points in project management that a risk manager must be aware of
- Undertake directed group activities that give you practical experience of the themes and issues being taught

Programme Summary

- The role of projects in the contemporary organisation
- The project
- Project risk management
- Project planning
- Project implementation
- Project closure
- Project leadership
- Project contract management



971-4-3352437



971-4-3352438



register@iirme.com



www.risk-arabia.com

Core
Course

Certified Risk Analyst™

10 – 14 August 2008

Introduction

During this five-day course you will explore the various risk exposures that may directly affect your organisation. You will gain a clear understanding of up-to-the-minute theories and systems for risk management and develop your own set of tools and techniques for combating risk within your own organisation. This is an innovative course that has been specifically designed to highlight the importance of accurate risk assessment and risk mitigation.

Who Should Attend?

- Corporate Treasury Managers in banks and corporations
- Risk Managers/Analysts
- Finance Directors
- Financial Controllers
- Finance Managers
- Accountants
- Dealers
- Market Risk Staff
- Brokers
- Internal Auditors

Plus anyone who is responsible for analysing company financial risk and dealing with the various risk exposures that may affect their organisation.

By Attending This Course You Will:

- Use risk analysis as a key business driver
- Appreciate the benefits of accurate risk management
- Develop an integrated strategy for the identification, analysis, prioritisation and mitigation of risk
- Comply with major risk management standards
- Successfully determine and avoid the common pitfalls in risk analysis
- Develop a comprehensive tool-kit for successful risk analysis that you can use immediately

Programme Summary

- An Introduction To Risk Management
- Exercise – Risk Register
- Risk Exposures And Major Categories
- Categories Of Risk
- Tools For Identification And Management
- Operational Risk Management
- Strategic Risk Management
- Political And Sovereign Risk
- Risk Linkages And Value Added
- Identifying And Implementing Risk Standards

Elective
Course

Certified Credit Analyst™

20 – 24 July 2008

Introduction

The goal of this course is to provide a Credit Analyst with diagnostic skills that can be immediately used upon return to their respective international banks or corporate credit department. Analysts will learn to use demonstrated analytical strategies which will enable them to assess the degree of risk prior to endorsing approval or rejection of a specific credit request. The course is highly interactive and relies on significant participation from delegates and group discussion. Exercises have been specifically developed to embed the learning outcomes from this course and leave you with a set of skills and tools that will last for the rest of your professional career.

Who Should Attend?

All credit professionals will benefit by attending this programme. In particular, Loan Officers and Managers and Credit Managers will benefit from the practical focus of this course. Senior banking professionals and branch managers may benefit from this course as a refresher in credit analysis.

By Attending This Course You Will:

- Develop a deep understanding of financial statements and their interpretation and application to credit decisions
- Take away an analytical toolkit including various ratio analysis, cash flow and ability to pay tools
- Prepare financial projections based upon assumptions provided by the borrower then test those assumptions by utilising sensitivity analysis
- Understand how to grade a loan or establish a credit rating

Programme Summary

- Role of the credit analyst and lending officer
- Credit analysis procedures
- Financial statement analysis
- Financial analysis
- Structuring a credit to minimise risk
- Non-performing loans/distressed debt
- Financial projections and analysis
- Advanced analytical techniques
- Practical assessment exercises

Elective
Course

Certified Corporate Banker™

23 – 27 November 2008

Introduction

The goal of this course is to provide corporate banking practitioners with the skills and practice of corporate portfolio management and to meet the needs of an increasingly diverse, important and competitive segment of the total financial markets. The course aims to build confidence in the principles and practice of corporate bank management.

Who Should Attend?

This elective programme is most suited to banking and finance professionals. The detailed nature of this programme means that it is suitable for both senior and middle level risk managers within these organisations.

By Attending This Course You Will:

- Explore the main themes in risk management when applied to the banking industry
- Develop a set of tools and skills that you can apply in your own bank to improve your risk management processes
- Explore the practical realities of Basel II and future risk management accords

Programme Summary

- Introduction to corporate banking management
- Advanced corporate banking
- Loan syndication and asset management
- Foreign exchange
- Cash and treasury management
- Advanced risk management
- Advanced relationship selling
- Group preparation and role play

Elective
Course

Master Compliance Professional™

6 – 10 April 2008

Introduction

During this five day course you will engage with and develop an understanding of some of the critical issues confronting the compliance professional in the contemporary organisation. You will have the opportunity for issue analysis and networking with some of the region's most qualified compliance operatives, as you engage with the challenges of the programme.

This is an innovative course that has been specifically designed to provide the appropriate environment for the professional development and industry acknowledgement of current practitioners of compliance. This programme has been designed to be delivered in a highly interactive way, involving a mix of theory, interactive discussion and formal practical exercises. It will also involve presentations from regional organisations that have, or are currently dealing with, the issues covered in the programme. It is also expected that all participants will contribute from their own experience and area of knowledge. This delivery method has been chosen to ensure that participants can maximise their skill development and experience sharing through peer interaction.

Who Should Attend?

The course is designed to provide those who already possess a sound knowledge in the area an opportunity to extend their understanding across a range of compliance issues that will impact on their organisations. As such, it will be expected as an entry requirement, that all participants will have attained the Certified Compliance Officer (CCO) level of understanding, or are in a position to demonstrate considerable professional experience in the area, as well as a capacity to contribute to the programme.

By Attending This Course You Will:

- Develop a high level strategic approach to compliance and its application in the Gulf
- Hear from, and network with, leading compliance practitioners currently practicing regionally
- Enjoy a very high level of academic and practical discussions and debates about the key compliance issues that you are facing

Programme Summary

- Compliance standards (models) and their role
- Creating best practice
- Compliance in the non-banking sector
- The Basel II accord and compliance
- Internal control the foundation of successful compliance
- Regional responses to global change
- Governance and governance structures
- Compliance and sustainable markets
- Harmonisation or convergence – "A New Compliance Paradigm"

Certified Compliance Officer

IIR Middle East certified by



ISO 9001:2000

20 – 24 July 2008 • Dusit Thani Hotel, Dubai, UAE

FIVE EASY WAYS TO REGISTER

☎ 971-4-3352437 📠 971-4-3352438 🖨 register@iirme.com

✉ IIR Holdings Ltd. GCS/IIR Holdings Ltd.
PO Box 21743, Dubai, UAE P O Box 13977, Muharraq, Kingdom of Bahrain

🌐 www.risk-arabia.com

For further information and Group Discounts
contact 971-4-3352483 or email: info@iirme.com

WEB BC2509

Yes, I want to register for:

Event	Dates	Price	Other events in the diploma	Price
<input type="checkbox"/> Certified Compliance Officer™ (BC2509) OR <input type="checkbox"/> Certified Compliance Officer™ (BC2513)	20 – 24 July 2008 2 – 6 November 2008	US\$ 4,750 US\$ 4,750	<input type="checkbox"/> Master Compliance Professional™ (Elective Course) 6 – 10 April 2008 (BC2618) <input type="checkbox"/> Cert Operational And Market Risk Analyst™ (Core Course) 4 – 8 May 2008 (BC2508) <input type="checkbox"/> Certified Credit Analyst™ (Elective Course) 6 – 10 July 2008 (BC2510) <input type="checkbox"/> Certified Risk Analyst™ (Core Course) 10 – 14 August 2008 (BC2511) <input type="checkbox"/> Project And Contract Risk Specialist™ (Core Course) 19 – 23 October 2008 (BC2512) <input type="checkbox"/> Certified Corporate Banker™ (Elective Course) 23 – 27 November 2008 (BC2514)	US\$ 4,750 US\$ 4,750 US\$ 4,495 US\$ 4,750 US\$ 4,750

Discounts

<input type="checkbox"/> Book before 27 April 2008	SAVE US\$ 500	US\$ 4,250
<input type="checkbox"/> Book before 25 May 2008	SAVE US\$ 250	US\$ 4,550

Save US\$ 2,500 when you register for the **International Diploma in Risk Management (3 core + 1 elective course)**.
Pay only US\$ 15,480 including AAFM registration fee for assessment, certificate and designations.

*Course fees include documentation, luncheon and refreshments.
Delegates who attend all sessions will receive a Certificate of Attendance.*

PERSONAL DETAILS:

Title	First Name	Surname	Job Title	Department	Email
1 st Delegate					
2 nd Delegate					
3 rd Delegate					
4 th Delegate					

To assist us with future correspondence, please supply the following details:

Head of Department					
Training Manager					
Booking Contact					

Company:

Address (if different from label above):

Postcode: Country:

Tel: Fax:

No. of employees on your site:

0-49 50-249 250-499 500-999 1000+

Nature of your company's business:

Yes! I would like to receive information about future events & services via email.

My email address is:

Payments

A confirmation letter and invoice will be sent upon receipt of your registration. Please note that full payment must be received prior to the event. Only those delegates whose fees have been paid in full will be admitted to the event. You can pay by company cheques or bankers draft in Dirhams or US\$. Please note that all US\$ cheques and drafts should be drawn on a New York bank and an extra amount of US\$ 6 per payment should be added to cover bank clearing charges. All payments should be in favour of IIR Holdings Ltd.

Card Payment

Please charge my credit card: Visa Mastercard American Express

Name on Card:

Card Number: Exp. Date:

Signature:

Cancellation

If you are unable to attend, a substitute delegate will be welcome in your place. If this is not suitable, a US\$ 200 service charge will be payable. Registrations cancelled less than seven days before the event must be paid in full.

Avoid Visa Delays – Book Now

Delegates requiring visas should contact the hotel they wish to stay at directly, as soon as possible. Visas for non-GCC nationals may take several weeks to process.

Due to unforeseen circumstances, the programme may change and IIR reserves the right to alter the venue and/or speakers.

Event Venue

Dusit Thani Hotel, Dubai, UAE

Tel: 971-4-3433333

Accommodation Details

We highly recommend you secure your room reservation at the earliest to avoid last minute inconvenience. You can contact the IIR Hospitality Desk for assistance on:

Tel: 971-4-4072693

Fax: 971-4-4072517

Email: hospitality@iirme.com

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