



مركز هيئة الأوراق المالية والسلع للتدريب
SECURITIES & COMMODITIES AUTHORITY TRAINING CENTRE



2014

scatc.sca.ae

Training Calendar



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About the Center

The Securities and Commodities Authority (SCA) Board of Directors issued its Decision No. (12/r) of 2011 concerning the Regulations as to SCA Training Centre. The key provisions of the Decision included the following:

1. Dubai Branch and the Training Centre shall be added to the SCA Organizational Chart so as they administratively report to the CEO of SCA.
2. The Organizational Chart of the SCA Training Centre shall consist of:
 - Board of Trustees;
 - Administrative staff.



Vision:

Leadership in the field of training, research and awareness in relation to the capital markets and investments, locally and globally.

Mission:

To enhance the professional competence of the oversight staff and members in the financial markets, as well as other competent entities; and play an efficient role in developing and promoting investment culture in order to support confidence in the capital and investment markets.



Strategic Objectives:

1. **To develop human resources** working in the financial and investment markets; and increase the number of those qualified in the field;
2. **To raise the awareness of the investors** to protect themselves and further to educate them as well as enhancing confidence, in such a manner, as to serve the interests of the national economy;
3. **To provide access to training and qualification** for citizens of the UAE, GCC and other Arab countries to work in investment field in the capital, securities and commodities markets;
4. **To supervise the examinations of the accredited professional certificates** with a view to license the various technical and professional jobs in accordance with law requirements, and to consider the accreditation of professional certificates in this area;
5. **To improve competence in technical and professional jobs** by launching new programs, implementing a continued education system and developing the examination systems;
6. **To strengthen the relations with the competent entities** that contribute to the sustained quality of the Training Centre.



Chairman Message

The Securities and Commodities Authority Training Centre (SCATC) in the United Arab Emirates is a prestigious and specialized institution concerned with the development of professionals in the fields of capital markets and the financial services industry, in general.

Consequently, SCATC is committed to providing training programs, seminars, qualifications exams and professional certificates with the aim of promoting the performance of the capital market and financial services sectors, and meeting the challenges and requirements of the labor market. This is in addition to training of UAE national cadres and living up to the latest developments in the area of financial services, in a way that would serve the interest of investors and clients, in particular and the interest of the UAE national economy, in general.

In view of the above, the SCATC continues to exert great efforts in adopting only the best international practices to achieve the highest level of quality standards of performance and services for its clientele. This is manifested in the equipping of the center with the state-of-the-art training equipment and education resources, first class conference hall and the employment of technical and administration experts and highly experienced personnel to run the Center and provide services at the highest level of professionalism. .



SCATC has also signed partnership agreements with a number of the best national, regional and international vocational and academic institutions to provide a package of training programs and examinations of high quality, excellence and innovation of international standards and specifications. In this regard, I am pleased to say that all the certificates being issued by the Center, in cooperation with its strategic partners, are internationally accredited certificates acceptable and recognized at the regional and international levels.

I therefore invite specialists and other interested persons to benefit – through the SCATC website – from the Center's programs and services, to apply and sit for its various exams, and to offer opinions and suggestions to further develop the Center and raise higher the level of its services to achieve the targeted objectives and the expectations of its clients in order to enhance the progress and development of the securities sector according to best international standards.



H.H Abdullah Al-Turifi

CEO

Securities Commodities Authority

SCATC Chairman



Workshops



Investment Fund: Selection and Analysis of Funds

Description :

Selection and analysis of mutual funds for professionals is a program that addresses the various challenges in determining the added value of the fund and the level of its performance in order to achieve the desired objectives of the investor. This program answers the fundamental question: “How mutual funds are selected and how to evaluate their performance?” Hence, the program covers various models of funds, such as mutual funds and hedge funds. The program uses real-life cases, along with simulation exercises.

Training Period : Three Days

Language : Arabic

Course Level : Intermediate

Date : 15-17 April 2014

Target Groups :

- ❖ Workers in brokerage firms and financial markets
- ❖ University professors and students
- ❖ Any interested employees and members of the public
- ❖ Investors



Preparation for the Global Securities Exam



Description :

This workshop aims to explain and review the Global Securities reference material issued by the UK Chartered Institute for Securities & Investment (CISI) and approved by the Securities and Commodities Authority as part of the requirements to license certain professions in the securities and commodities sector. The workshop has been designed to help the participants understand the basic components of the academic material, know the nature of the expected questions and train on them so that participants will be more ready to pass the securities and investment examinations.

Training Period : 24 hours

Language : Arabic

Course Level : Intermediate

Date : 19-30 April 2014

Target Groups :

- ❖ Workers in brokerage firms and financial markets
- ❖ University professors and students



Operational Risk Management



Description :

This workshop aims to explain and review the Operational Risk curriculum issued by the UK Chartered Institute for Securities & Investment (CISI) and approved by the Securities and Commodities Authority, which is part of the requirements to license certain professions in the securities and commodities sector.

The workshop has been designed to help the participants understand the basic components of the academic material, know the nature of the expected questions and train on them so that participants will be more ready to pass the securities and investment examinations.

Training Period : 24 hours

Language : Arabic

Course Level : Intermediate

Date : 17-28 May 2014

Target Groups :

- ❖ All employees in the financial sector and the relevant government sector
- ❖ University professors and students
- ❖ Analysts and economists
- ❖ Any interested employees and members of the public



Tools and Products of Derivatives in the Capital Market

Description :

Since Derivatives emerged in the West almost 150 years ago, they triggered and is still triggering a lot of controversy about their legitimacy, whether legally or economically. By law, Forwards which are not intended to make a delivery, but settlement on the price differences are seen as a type of betting and gambling that is not legitimated by the common law. Thus, what is the other side of Derivatives and how they are created? What are the investment strategies related to Derivatives? What are their trading processes and what are the contracts used with them? What is their relationship with Swaps? And what is the real possibility to list certain types of Derivatives in the Arab countries?

Training Period : Two Days

Language : Arabic

Course Level : Intermediate

Date : 18-19 May 2014

Target Groups :



All professionals in the investment industry and capital markets





Mechanism of Buying Warrants and Installments

Description :

This program aims to educate the participants on how the various forex markets function and about the possible causes for the market movement, forex strategies and forex trading through the key of any EUR/USD, USD/JPY and other negotiable pairs. The program focuses on the purchase in Warrants and Installments in terms of concept and mechanism.

Training Period : Two Days

Language : Arabic

Course Level : Intermediate

Date : 11-12 August 2014

Target Groups :

- ❖ Workers in brokerage firms and financial markets
- ❖ Any interested employees and members of the public
- ❖ Investors



Course on Trading Securities

Description :

This program explains and illustrates all stages of the trading cycle for traded securities and highlights the risk factors and profiles that may arise in each stage.

Training Period : Two Days

Language : Arabic

Course Level : Intermediate

Date : 19-20 August 2014

Target Groups :

- ❖ Workers in brokerage firms and financial markets
- ❖ University professors and students
- ❖ Any interested employees and members of the public



Governance, Compliance, Inspection and Professional Ethics

Description :

This workshop offers an up-to-date comparison between the distinct role of each individual practice or concept. It also discusses the impact of these tools on the functioning of financial markets and the confidence of investors. However, the real questions are: What is the language of dialogue and communication between the four sides? What are the aspects of potential conflict or contradiction? Why the main focus in most cases is on companies and workers in the financial sector and not the investors? How coordination can be made in the presence of multiple regulators? What are the international practices to integrate the four roles? And what are the proposed steps in the future?

Training Period : Two Days

Language : English

Course Level : Intermediate

Date : 27-28 August 2014

Target Groups :

- ❖ Those who attended previous courses in the fields of government, compliance, inspection and professional ethics



Subscription: Process & Mechanism

Description :

Subscription is essentially a process used by companies to increase their capitals through initial public offering (IPOs), follow up on public offerings to help pricing and explore demand. During the period in which an IPO takes place, bidding is open. Bids are solicited from investors at different prices, which fall within the price range specified by the issuer. The workshop addresses the technical, procedural and legal aspects of subscription. It also presents a quick comparison between subscription procedures in other regional and global markets.

Training Period : Two Days

Language : Arabic

Course Level : Beginner

Date : 15-16 September 2014

Target Groups :

- ❖ Workers in brokerage firms and financial markets
- ❖ University professors and students
- ❖ Any interested employees and members of the public
- ❖ Investors





Professional Certification



ACCREDITED
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CHARTERED INSTITUTE FOR
SECURITIES & INVESTMENT



International Compliance Association

Excellence through Education



MANCHESTER
1824

The University
of Manchester



Project Management Institute





Certified Compliance Officer



Summary :

The certificate of the Securities and Commodities Authority / International Compliance Association (SCA/ICA Certificate) is a professional training program built-in an academic discipline. It is specifically tailored and structured for professionals working in the finance and financial markets sectors in the UAE, the GCC states and other Arab countries. It is also designed to enhance knowledge and develop skills.

This program will provide you with the practical skills to be able to promote the culture of compliance within your organization. It will also help you manage relationships with regulators, law enforcement agencies, the senior management and colleagues. The program will also contribute to the proper understanding of the risks, giving you the ability to design an effective compliance system to manage such risks. The program is comprehensive and provides a full range of useful and practical knowledge. Furthermore, it provides a great means to prepare for obtaining ICA Diploma in the compliance on the way to gaining the status of experts.

Accrediting Institution :

- ❖ International Compliance Association (ICA)
- ❖ The UAE Securities and Commodities Authority
- ❖ Manchester College University in London



Accredited Financial Analyst (AFA)

Summary :

This program focuses on theoretical study and applied practice of the financial market mechanisms, investment and portfolio management.



The main themes of the certificate include:

Exploring the latest developments in the theory of the modern market analysis, and excellence in the portfolio management skills;

Development of a list of key technologies applied in the analysis of markets and financial analysis;

Interpretation of risks and the strategy in financial decisions;

Integration of the optimal blend of legal knowledge and risk management;

Improving the understanding of the variables that affect IPOs, secondary markets, portfolios and Exchange-Traded Funds (ETFs); and

Assessing the complexities of investing in emerging markets and developing strategies to manage risks and maximize returns.

23-27 November (English)



Certified Wealth Manager (CWM)



Summary :

For all Wealth Management staff, this is the penultimate qualification for those engaged with customers at the frontline. The CWM designation differs from other generalist financial planning qualifications in that it is purpose-built for the, investment professionals, retail premier banking and private banking sectors. The program reviews advanced financial planning and relationship selling concepts, product structures and more unique WM product offerings. It also examines target account and strategic selling techniques, along with handling client reviews and development.

2-6 November (Arabic)





Certified Financial Technician - First Level (CFTe1)

Summary :



In collaboration with the International Federation of Technical Analysis, this intensive program is designed to shed light on the essence of the technical analysis science, most important principles and tools and how to use it to analyze the financial markets. The program is a good introduction for those who want to pass the first stage of the Certified Financial Technician - first level (CFTe1).

The CFTe1 exam tests the candidate's knowledge of six key areas

- Technical Analysis terminology;
 - Methods of developing charts;
 - Determining price trends / basics of identifying patterns;
 - Developing price targets;
 - Analysis of the stock market; and
 - Application of technical analysis on bonds, Forex, Forwards, Futures and Options.
- Preparation for CFTe1 requires 60 to 90 hours of study, after completion of the program.
- The Examination Center of the Securities and Commodities Authority is the exclusive authorized center for the IFTA examinations in the United Arab Emirates

4- 8 May (Arabic)

26-30 October (English)



Certified Project Manager Professional



Summary :

This is a special and intensive course to prepare candidates for the Project Manager Professional (PMP) certificate or Certified Associate Project Manager (CAPM). This course covers all areas of knowledge and skills approved by the international Project Management Institute (PMI).

7- 11 December (Arabic)



English Courses



برامج اللغة الإنجليزية



SCATS gladly cooperates with some of the best language training institutes to provide **General**, and **Business** English courses. It also intends to provide a specialized program for capital market professionals. For more information, please contact us at.

SCATrainingCentre@sca.ae



Training calendar 1

Program	Category	Language	Venue	Date	Month	Fee AED
Selection & Analysis of Investment Funds	Workshop	Arabic	Abu Dhabi	15-16-17	April	2500
Global Securities Exam Preparation-CISI	Review & Preparation	Arabic	Dubai	19-30	April	3500
Certified Technical Analyst CFTe- Level 1	Review & Preparation	Arabic	Dubai	4-8	May	5000
Tools & Products of Derivatives in the Capital Markets	Workshop	Arabic	Dubai	18-19	May	2500
Operational Risk Exam Preparation- CISI	Review & Exam Preparation	English	Dubai	17-28	May	3500



Training calendar 2

Program	Category	Language	Venue	Date	Month	Fee AED
Understanding Warrants & Installments	Workshop	Arabic	Dubai	11-12	August	2500
Trading Securities	Workshop	Arabic	Dubai	19-20	August	2500
Corporate Governance, Compliance, Inspection and Ethics	Seminar & Workshop	English	Dubai	27-28	May	2500
Subscription: Process and Mechanism	Seminar & Workshop	Arabic	Dubai	15-16	September	2500
Islamic Capital Markets: Pathway to a successful structure	Workshop	English	Dubai	29-30-1	September-October	2500
Certified Technical Analysts- CFTe1 Level 1	Review & Exam Preparation	English	Dubai	26-30	October	5000
Certified Wealth Manager	Review & Exam Preparation	Arabic	Dubai	2-6	November	5000
Accredited Financial Analyst	Review & Exam Preparation	English	Dubai	23-27	November	5000
Project Management Institute	Review & Exam Preparation	Arabic	Dubai	7-11	December	5000



تواصل مع مركز التدريب

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