

Certified Compliance Officer™

On satisfactory completion of this programme
you will be entitled to use the designation
Certified Compliance Officer (CCO)
on your resume and
business cards

22 – 26 March 2009
Mövenpick Hotel, Dubai, UAE

After Attending This Five Day Executive Programme, You Will Be Able To:

- **Define** the meaning of compliance for your organisation and be capable of developing an organisational response to its demands
- **Know** what drives your compliance exposure both at home and abroad
- **Understand** the risk consequences of non-compliance
- **Appreciate** the relationship between corporate governance and compliance, including the market expectation of that relationship
- **Understand** the implications of Sarbanes-Oxley for corporate accountability and ethical behaviour
- **Understand** how to produce a corporate compliance programme tailored to your own organisation
- **Learn** how to optimise organisational structure for compliance

Who Should Attend?

This programme is designed to provide an holistic overview of compliance. All professionals with responsibility for compliance will benefit by attending, specifically:

- Directors and Senior Managers
- Company Secretaries
- Compliance Professionals
- Risk Managers
- Legal Advisers and Lawyers
- In-House Corporate Counsel
- Auditors and Audit Managers
- Compliance Officers and Managers



This course may contribute to you achieving an International Diploma in Risk Management. For more information see www.riskarabia.com

Organised By



Official Regional Recruitment Partner



www.iirme.com/cco

Dear Compliance Professional,

Regulatory environments in our region are developing rapidly, with governments and organisations recognising that well structured and enforced corporate governance régimes are essential for both national and corporate performance.

As we pass through this period of unprecedented economic turmoil businesses are evermore reliant on their compliance professionals. Now is not the time for 'I told you so', but the time for increased investment in the compliance competencies that may have saved us from the current crisis.

Regardless of the specific regulatory environment your organisation operates in, the skills and competencies required to set up and operate an effective compliance function remain the same.

Your expert course director, Warren F Gillian, will guide you through the process of establishing a compliance function that is robust enough to protect your organisation's interests in the increasingly strict regulatory environments that are the new reality in the region.

You will explore key areas for debate such as who is responsible for corporate governance, the extent of your legal obligations, the importance of Sarbanes-Oxley and, most importantly, how to actually implement an effective compliance function in your organisation.

This programme is fully accredited and certified by the American Academy of Financial Management (AAFM) and on satisfactory completion of the programme you will be entitled to use the designation CCO on your business cards and resume.

Join your peers at this cutting-edge event by simply faxing the registration form to +971-4-3352438, phoning our registration hotline on +971-4-3352437, emailing register@iirme.com or visiting our website at www.iirme.com/compliance

Warren and I look forward to welcoming you personally to this crucial programme in March 2009.

Yours Sincerely,



Jeremy Butcher
Conference Director
Head of Finance

P.S. On satisfactory completion of this course you will be entitled to use the designation CCO on your business cards and resume!

Forthcoming Related Events

BC2875 Due Diligence

11 - 15 January 2009

www.iirme.com/duediligence

BC2868 Best Practice In Corporate Governance

18 - 22 January 2009

www.iirme.com/corpgovernance

BC2824 Advanced Financial Modelling

25 - 29 January 2009

www.iirme.com/financemodelling

About Your Expert Programme Director



Warren F Gillian BSc, BA, MEI has been responsible for the development of new and existing businesses in over 20 countries throughout Asia, Middle East and North Africa. In all, over 250 companies within these regions have been assisted by Warren to achieve various degrees of business risk and environmental risk performance enhancement, utilising his technology and information transfer skills. During this period Warren also project managed over AUD\$20 Million in R&D projects in the areas of energy storage, industrial processing and environmental management (water and wastewater management). He has written and published extensively in local and international media on technical and commercial issues. He has presented and chaired many international conferences, sat on and chaired numerous international advisory groups and has only recently stepped down as Deputy Chairman of the Centre for Groundwater Studies. Currently his interests lie in the area of business continuity management, focusing on communication and reputation risk. Warren has also been a Company Director for over 20 years and is well aware of the onerous position facing all Directors. He is also well versed in current corporate governance issues, in which he lectures at post-graduate level to banking and finance students. Warren is currently Deputy Director of the Australasian Risk Management Unit (ARMU).

About The American Academy Of Financial Management (AAFM)



The American Academy of Financial Management™ is the world's fastest growing professional association with approximately 40,000 members in over 145 countries hosting and organising certification training worldwide and offering exclusive board certification designations to candidates who meet the highest professional standards and assessment criteria. The AAFM was chosen in 2006 by Dubai Financial Market (DFM) to conduct the seven week professional training component of the Kafa'at programme for graduates entering the brokerage and trading industry. AAFM also has alliances with the Kuwait Institute of Banking Studies, the Swiss Finance Institute, Dubai Institute of Human Resource Development, Dubai Quality Group, Knowledge Village and many more. With liaison offices in Dubai, Hong Kong, Kuwait, Singapore, San Francisco, Monterey, New Orleans, Melbourne, Beijing, the Caribbean, India and Europe, AAFM is fast becoming the world's leading professional association for financial practitioners. The AAFM Executive Designation Programmes also provide the assurance that the holder has met the suggested criteria for graduate credentials set out in the Ibanez US Supreme Court Decision and are recognised on both the NASD and US Department of Labor websites.

Programme Overview

Certified Compliance Officer is a management programme designed to teach a practical understanding of regulatory compliance needs. The programme covers eight major subject areas and utilises a variety of training techniques. The key areas of the curriculum include understanding the regulatory environment, identifying who is responsible for compliance, the role of corporate governance and ethics in compliance programmes, and the implementation of compliance programmes. The emphasis will be on practical and pragmatic approaches, rather than theoretical.

Programme Objective

The goal of this course is to provide you as compliance officers and managers with skills that can be immediately used upon your return to your organisation. You will learn to appreciate the extent to which organisations and their public officers are exposed to the ever increasing regulatory environment, how to identify the compliance issues that have the most significance for you and how to introduce an effective compliance management programme within your organisation.

Why This Particular Executive Programme?

Creating a culture of compliance is an important risk management strategy. Recent corporate collapses have heavily focused on the need for good corporate governance and an effective compliance programme is an integral part of this structure.

In addition to this, on satisfactory completion of the programme you will receive certification from the internationally recognised financial association, the American Academy of Financial Management (AAFM). Founded in America, the AAFM has members now in over 145 countries and as such this course brings with it the experience of credit professionals in the US, EU, Australia, and other dynamic financial markets. This certification will entitle you to use the formal designation Certified Compliance Officer (CCO) on your résumé and business cards.

This intensive programme will provide a blueprint for compliance professionals to follow to ensure that the risk profile of your organisation is limited by a thorough understanding of your regulatory environment.

Why Compliance Management?

In many financial markets, a formal compliance management system is not only recommended, but required by the regulatory community and by good corporate governance principles. Since news of financial misreporting by firms like Enron, Worldcom, Xerox, etc, the pressure on corporations to more transparently manage their compliance exposures is mounting.

Programme Timings

Registration will be at 08:00 on the first morning. The course will commence at 08:30 and conclude at 14:30 on all days with two breaks for refreshments. Lunch will be served at the end of each day.

Day One

Sunday, 22 March 2009

Introduction To Compliance

- What is corporate compliance?
- Who is responsible for managing it?
- What are the benefits of its introduction?
- Fostering a culture of accountability

The Regulatory Environment And The Role Of Regulators

- Regulatory drivers
- A variety of legal obligations and due diligence

Day Two

Monday, 23 March 2009

The Regulatory Environment And The Role Of Regulators Continued

- International responses to the problems of money laundering, economic crime, cyber-sabotage and terrorism
- Noncompliance and its ramifications

Compliance And The Role Of Corporate Governance

- What is governance?
- The corporate constitution and governance structures
- Duties and responsibilities of directors
- Protection of stakeholder interests

Day Three

Tuesday, 24 March 2009

Compliance And Business Ethics

- What is ethics?
- Ethics and corporate accountability: Sarbanes-Oxley
- Benefits of a commitment to sound business ethics
- Corporate ethics guidelines

Developing A Corporate Compliance Programme

- Code of conduct
- Policies and procedures

Day Four

Wednesday, 25 March 2009

Developing A Corporate Compliance Programme Continued

- Best practice principles
- Monitoring and auditing

Implementation Of Corporate Compliance

- Implementation requirements



Case studies will be used to demonstrate how organisations have implemented compliance programmes.

Day Five

Thursday, 26 March 2009

The Corporate Compliance Function

- The location of the compliance function
- Integration or independence of the compliance function
- An optimal compliance architecture
- The compliance officer issues in compliance
- The demand for Corporate Social Responsibility (CSR)
- Fraud and corruption control
- International money laundering
- Whistleblower programmes and the law

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ISO 9001:2000

FIVE EASY WAYS TO REGISTER

☎ 971-4-3352437 📠 971-4-3352438 💻 register@iirme.com

✉ **IIR Holdings Ltd.** **GCS/IIR Holdings Ltd.**
 PO Box 21743, Dubai, UAE PO Box 13977, Muharraq, Kingdom of Bahrain

🌐 **www.iirme.com/cco**

For further information and **Group Discounts** contact Raveena on 971-4-3352483 or email: R.devnani@iirme.com
Group discounts are only available on the full event price

BC2927

Yes, I want to register for:

Event	Dates	Price before 15 January 2009	Price between 15 and 29 January 2009	Price after 29 January 2009
<input type="checkbox"/> Certified Compliance Officer™	22 - 26 March 2009	US\$ 4,250 (Save US\$ 500)	US\$ 4,550 (Save US\$ 200)	US\$ 4,750

If you have eight or more delegates who need this training, contact IIR In-House on 971-4-3352439 or inhouse@iirme.com

Course fees include documentation, luncheon and refreshments, Delegates who attend all sessions will receive a Certificate of Attendance.

PERSONAL DETAILS:

	Title	First Name	Surname	Job Title	Department	Email	Mobile
1 st Delegate							
2 nd Delegate							
3 rd Delegate							
4 th Delegate							

To assist us with future correspondence, please supply the following details:

Head of Department							
Training Manager							
Booking Contact							

Company

Address (if different from label above):

Postcode: Country:

Tel: Fax:

No. of employees on your site:

0-49 50-249 250-499 500-999 1000+

Nature of your company's business:

Yes! I would like to receive information about future events & services via email.

My email address is:

Payments

A confirmation letter and invoice will be sent upon receipt of your registration. Please note that full payment must be received prior to the event. Only those delegates whose fees have been paid in full will be admitted to the event. You can pay by company cheques or bankers draft in Dirhams or US\$. Please note that all US\$ cheques and drafts should be drawn on a New York Bank and an extra amount of US\$ 6 per payment should be added to cover bank clearing charges. All payments should be made in favour of IIR Holdings Ltd.

Card Payment

Please charge me my credit card: Visa Mastercard American Express

Name on Card:

Card Number: Exp. Date:

Signature:

Cancellation

If you are unable to attend, a substitute delegate will be welcome in your place. If this is not suitable, a US\$ 200 service charge will be payable. Registrations cancelled less than seven days before the event must be paid in full.

Avoid Visa Delays - Book Now

Delegates requiring visas should contact the hotel they wish to stay at directly, as soon as possible. Visas for non-GCC nationals may take several weeks to process.
Due to unforeseen circumstances, the programme may change and IIR reserves the right to alter the venue and/or speakers.

Event Venue

Mövenpick Hotel, Dubai, UAE
 Tel.: +971-4-3366000

Accommodation Details

We highly recommend you secure your room reservation at the earliest to avoid last minute inconvenience. You can contact the IIR Hospitality Desk for assistance on:
 Tel: 971-4-4072693
 Fax: 971-4-4072517
 Email: hospitality@iirme.com